



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office ress: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/801,990

03/15/2004

Aaron B. Kantor

SURR121

CONFIRMATION NO. 3053

FORMALITIES LETTER

OC000000012463676

25871 SWANSON & BRATSCHUN L.L.C. 1745 SHEA CENTER DRIVE SUITE 330 HIGHLANDS RANCH, CO 80129

Date Mailed: 04/28/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/13/2004 AWDNDAF1 00000144 10801990

FILED UNDER 37 CFR 1.53(b)

01 FC:2001 02 FC:2051 03 FC:2201 04 FC:2202

385.00 OP 65.00 OP 129.00 OP 288.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$417 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$867 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$345
 - \$129 for 3 independent claims over 3.
 - \$246 for-24 total claims over 20.

MAN CC

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P,O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPI ICANT

KANTOR ET AL.

SERIAL NO.:

10/801,990

EXAMINER:

FILED:

MARCH 15, 2004

ARTHRITIS

ART UNIT:

1641

TITLE:

BIOMARKERS FOR RHEUMATOID

CONF NO:

3053

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

REPLY TO NOTICE TO FILE MISSING PARTS

The applicant hereby replies to the "Notice to File Missing Parts of Nonprovisional Application," mailed April 28, 2004. A copy of the Notice is enclosed. Also enclosed is the Declaration for Utility or Design Application Using an Application Data Sheet (37 C.F.R. 1.76), the Power of Attorney and Correspondence Address Indication Form, Statement Under 37 C.F.R. 3.73(b), copy of assignment from the inventors of the application, and a check for \$1,342.00. The check covers the filing fee (\$385.00), the surcharge (\$65.00), the additional claims fee (\$417.00) and fees for a three-month extension of time (\$475.00). The foregoing fees are calculated as a small entity.

Although the Notice to File Missing Parts indicates that a fee of \$216 is due for 24 total claims over 20, applicant believes that this fee should be \$288.00 for 32 total claims over 20, for a total additional claims fee of \$417.00, as indicated on the first page of the Notice.

The undersigned hereby authorizes the charge of any required fees not included or any deficiency of fees submitted herewith to be charged to deposit account No. 19-5117.

Respectfully submitted,

Date: September 7,200

Darla G. Yoerg, #48,033

Swanson & Bratschun, L.Z.C.

1745 Shea Center Drive, Suite 330 Highlands Ranch, Colorado 80129

Telephone:

(303) 268-0066

Facsimile:

(303) 268-0065

cc: W. Leschensky

S:\CLIENTFOLDERS\SURROMED\121\MISSING PARTS REPLY.DOC

37 CFR 1.8

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1480, Alexandria, VA/22313-1450, A.

Name: Tasha L. Pierce

Signature